



Progress toward a theory for excess heat in metal deuterides

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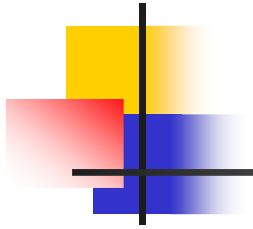
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Experiments

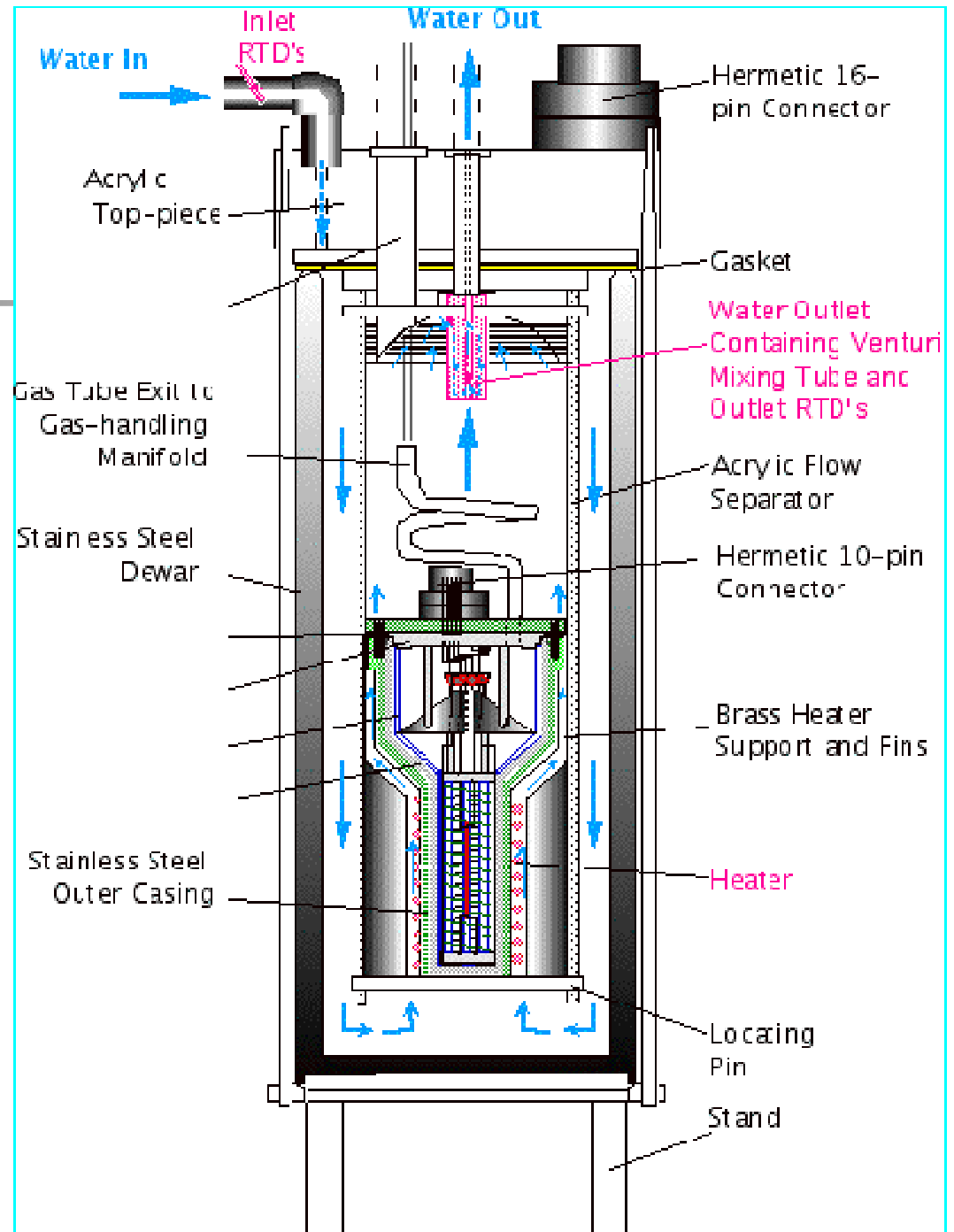
Evidence for new physical effects

- Excess heat
- Helium correlated with excess heat
- Tritium
- Neutrons
- Charged particles
- Other effects

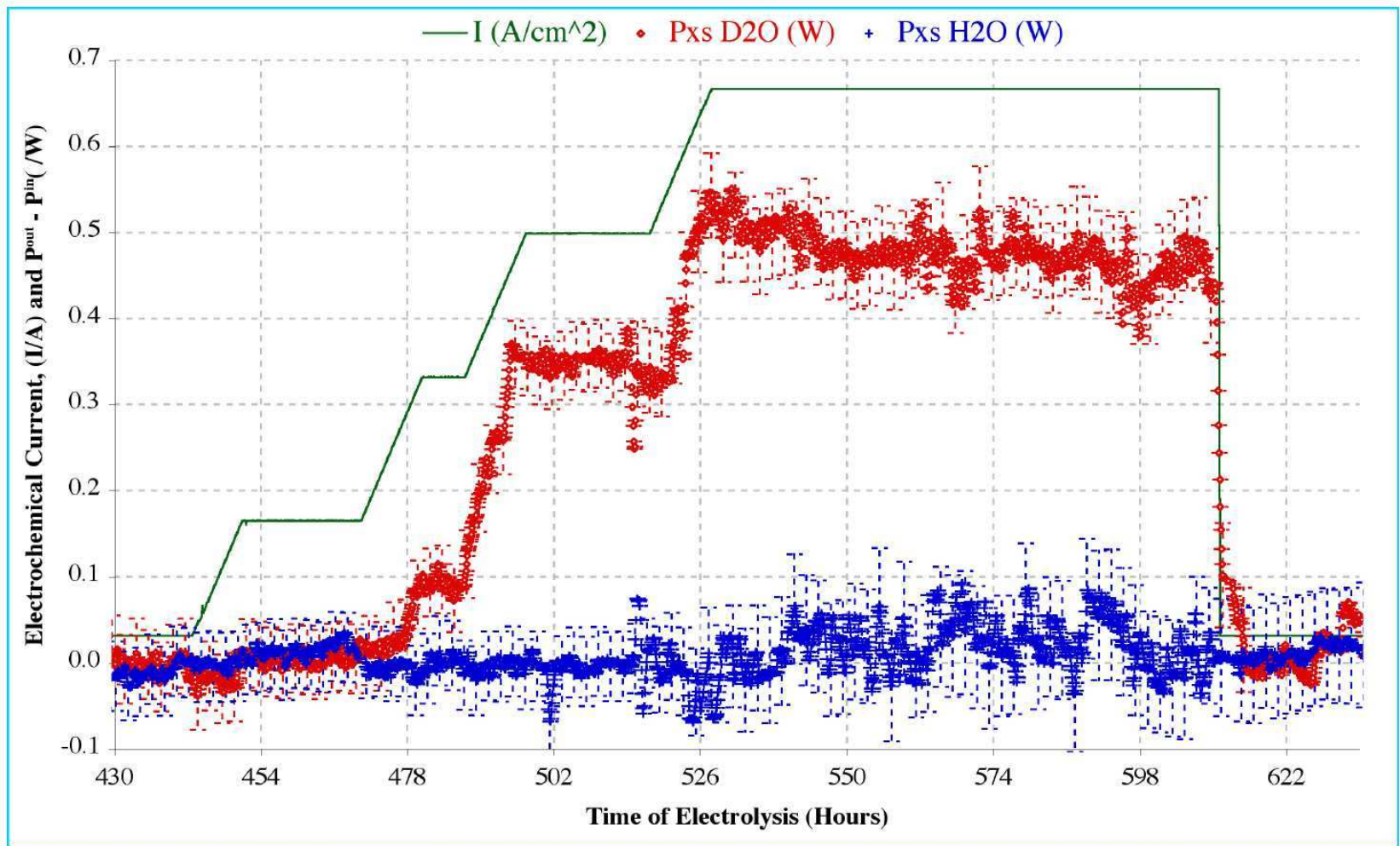


Flow calorimeter developed at SRI:

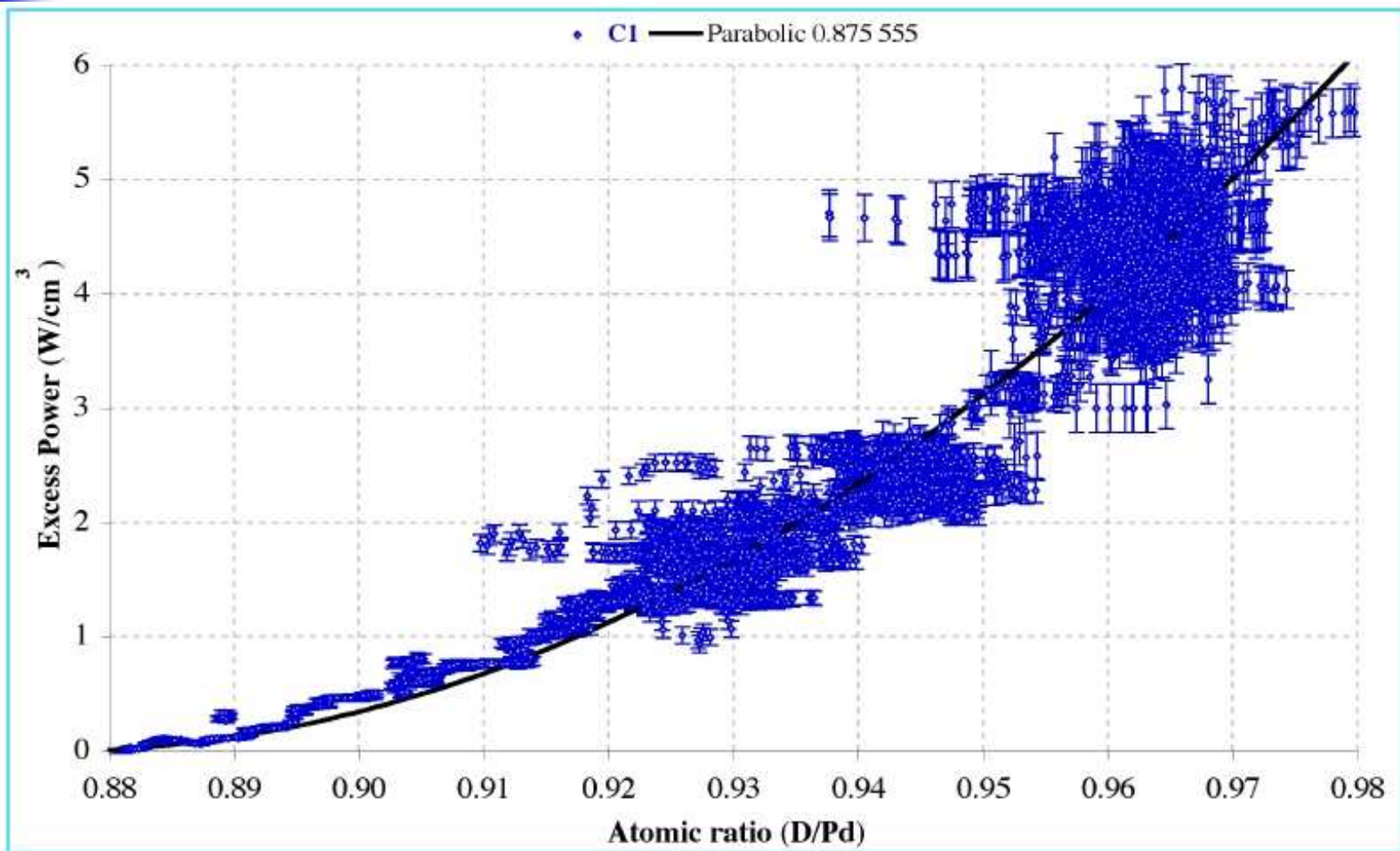
- 95% of heat captured by flow
- Fick's law for remaining 5%
- Overall accuracy 0.4-0.5%



Excess power as a function of time taken at SRI



Excess power as a function of loading taken at SRI





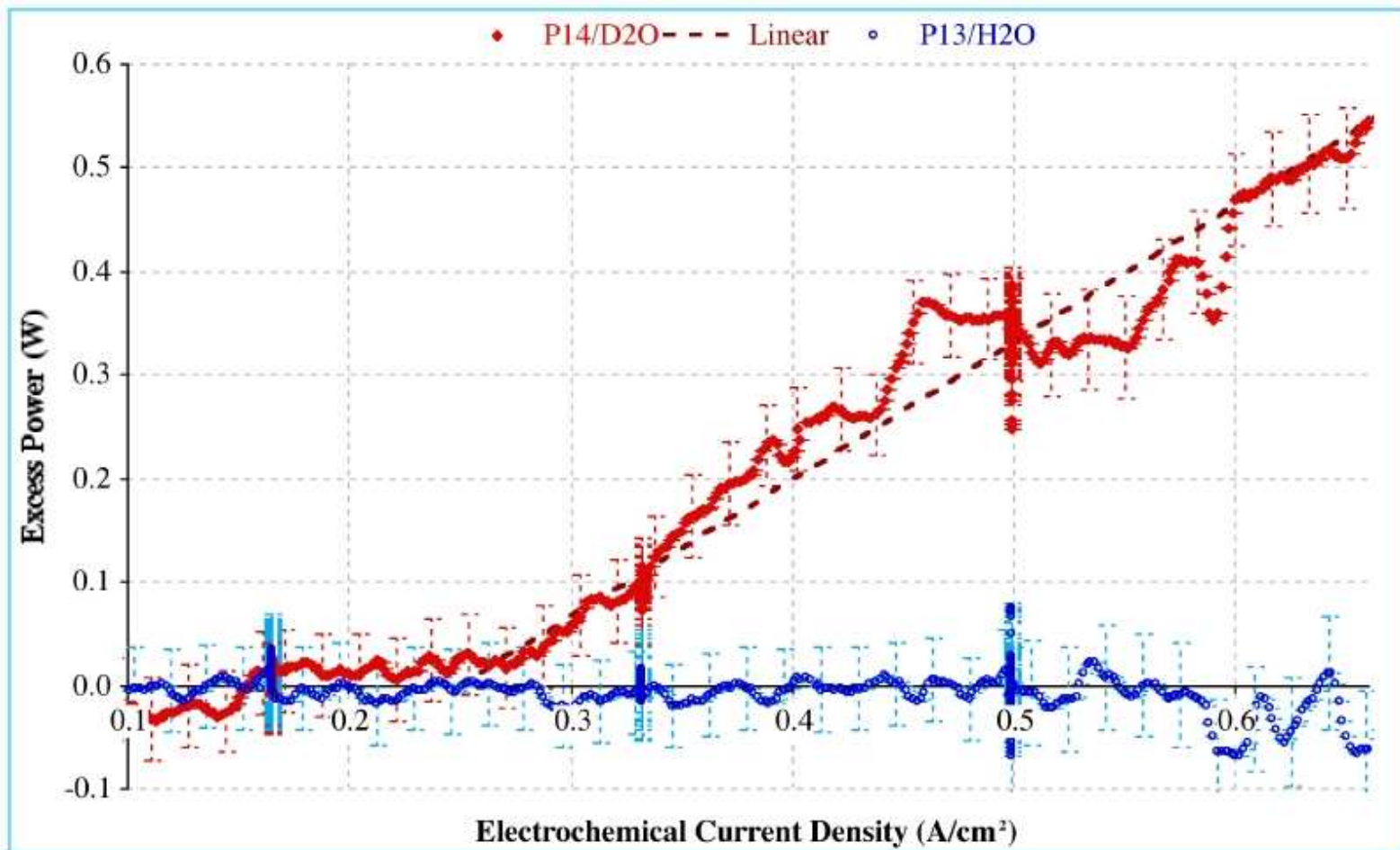
Temperature dependence

Excess power is a function of temperature

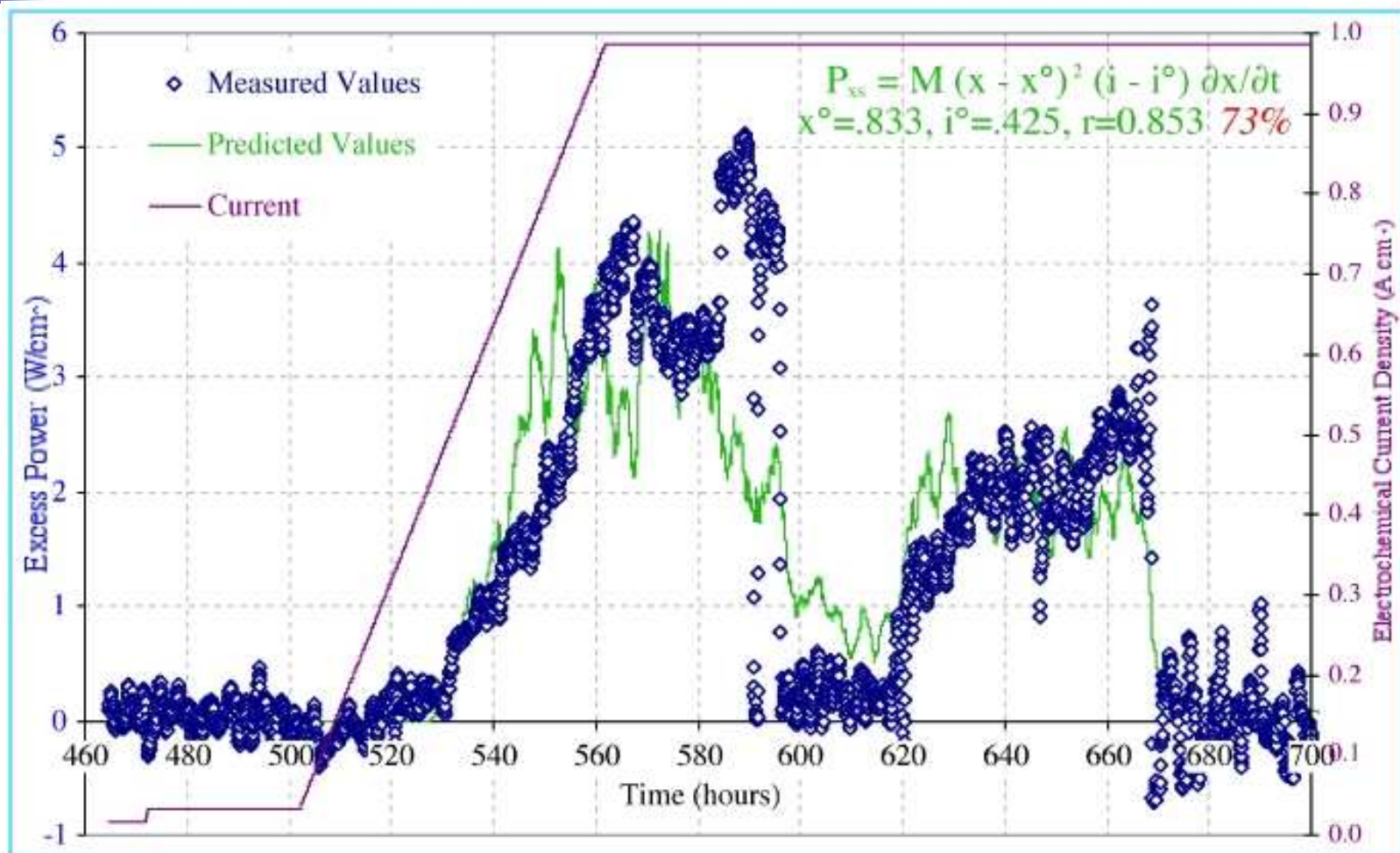
$$P_{xs} = P_0 e^{-\Delta E / kT}$$

- Fleischmann and Pons made use of this early on in their protocol
- Storms measured ΔE to be 670 meV
- Swartz measured ΔE to be slightly lower
- Case reported ΔE to be about 560 meV for a gas loaded catalyst at elevated temperature

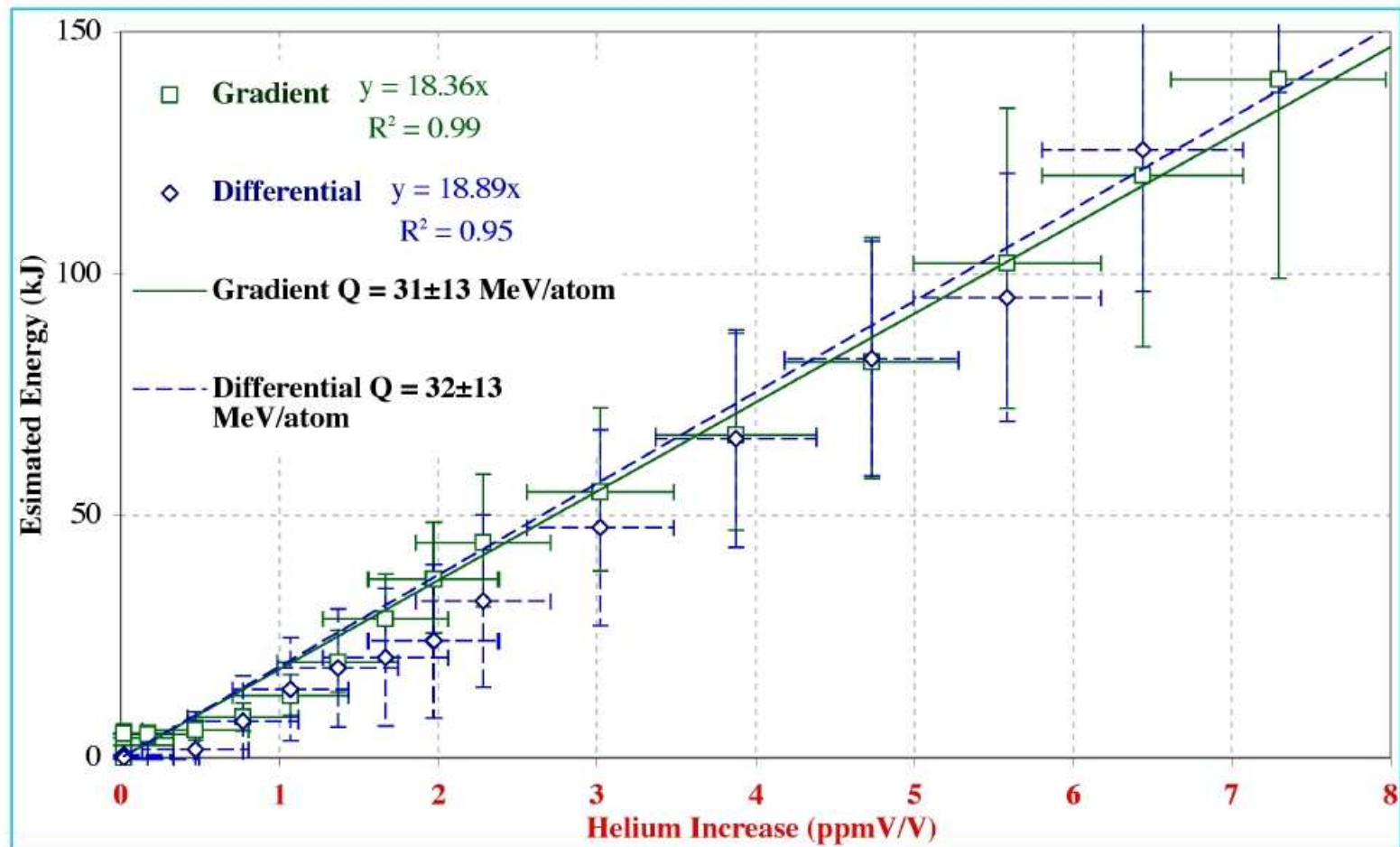
Excess power as a function of current density taken at SRI



Excess power depends on flux of deuterium, from SRI expts



Correlation of ^4He and excess energy, Case expt at SRI





Reaction energy

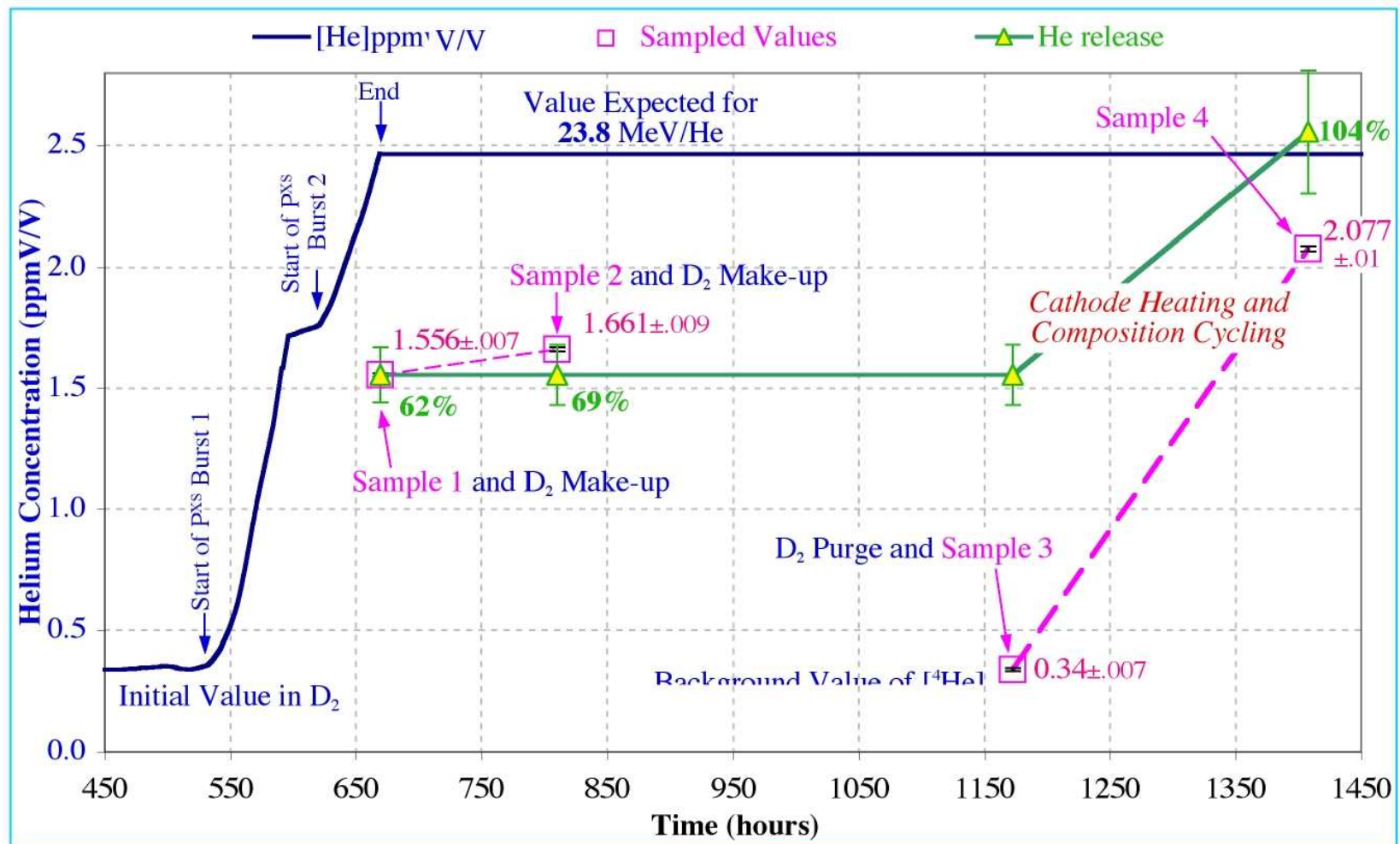
From correlation between ^4He and energy, one can get Q

- About 65% appears in gas in Fleischmann-Pons expts
- Suggests that ^4He formed near surface
- Remaining can be pulled out by cycling deuterium in and out of cathode
- Reaction Q from experiment consistent with



- No energetic particles in association with energy

Measurement of Q , taken at SRI





Heat after death

Excess power observed in some cases after electrolysis terminated

- Effect observed by Fleischmann and Pons early on
- Energetics experiments have shown strong heat after death effects
- Swartz has demonstrated amount of energy related to V_{oc}

$$P_{xs} = \text{Constant} (V_{oc} - V_{th})^2$$



Excess power gain

Excess power effect improved with time

- In early experiments mostly 10%-30% effect, reproducibility issues
- Energetics reported 6x energy gain in Pd glow discharge experiment (ICCF10)
- Energetics reported 30x energy gain in Pd electrolysis experiment (ICCF11)
- Mizuno reported 800x energy gain in W electrolysis experiment (ICCF12)
- Swartz reports good reproducibility at 3x and higher in Pd electrolysis



Energetic particles not quantitatively associated with energy

Excess energy not correlated with energetic particles

- Neutrons not observed with excess heat effect
- Evidence for different regimes
- Cathodes showing excess heat showed neutrons at lower current density
- Excess heat in F&P expt above 200 mA/cm²
- Tritium reported near 70 mA/cm²
- Neutron emission reported at 10-30 mA/cm²



For more information

See the DoE review document:

Hagelstein, P. L., M. C. H. McKubre, D. J. Nagel, T. A. Chubb, and R. J. Hekman, "New physical effects in metal deuterides," *Proceedings of the 11th International Conference on Cold Fusion*, Marseilles, France, November 2004, p. 23, 2006. Available on the DoE website.

Also see proceedings papers of the ICCF conference series



Theory

Require new models for anomalies

- Many new models proposed
- Major focus on tunneling
- Our work focuses on phonon exchange mechanisms



Thinking about excess heat effect

Must be a new physical process to happen at all consistent with experiment

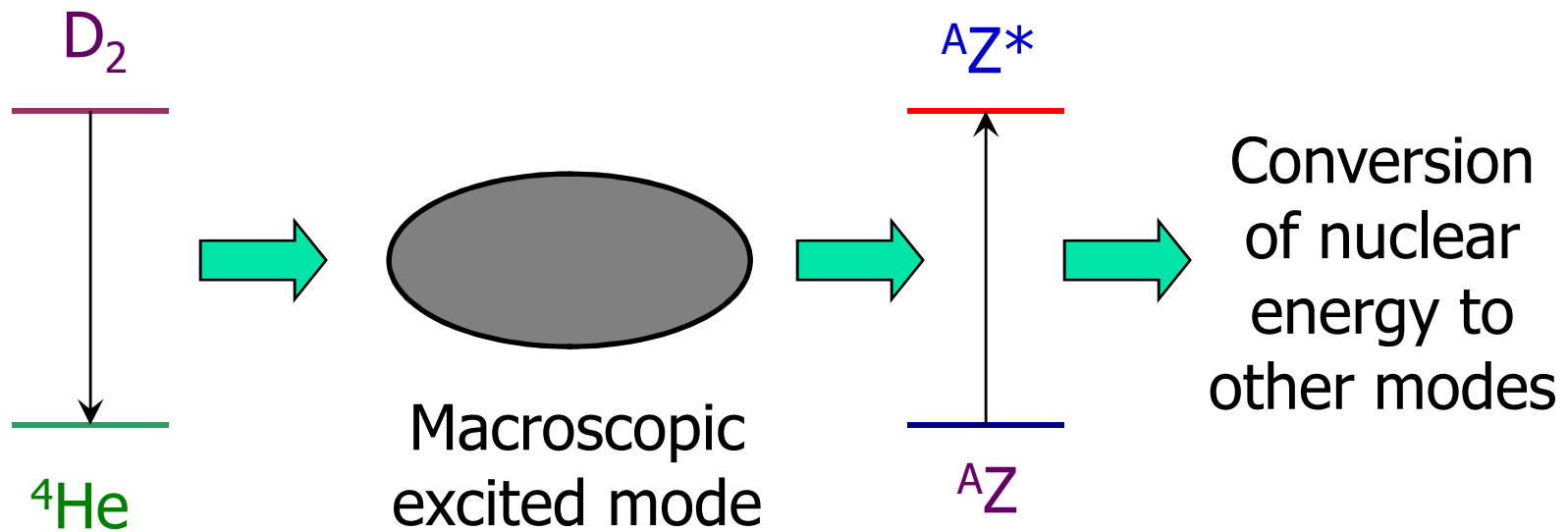
- New physical process must be able to convert a large MeV quantum into many small quanta
- Absence of energetic (MeV) products to be interpreted as feature of new mechanism
- Lack of energetic particles commensurate with energy consistent with absence of vacuum nuclear reactions as source of energy



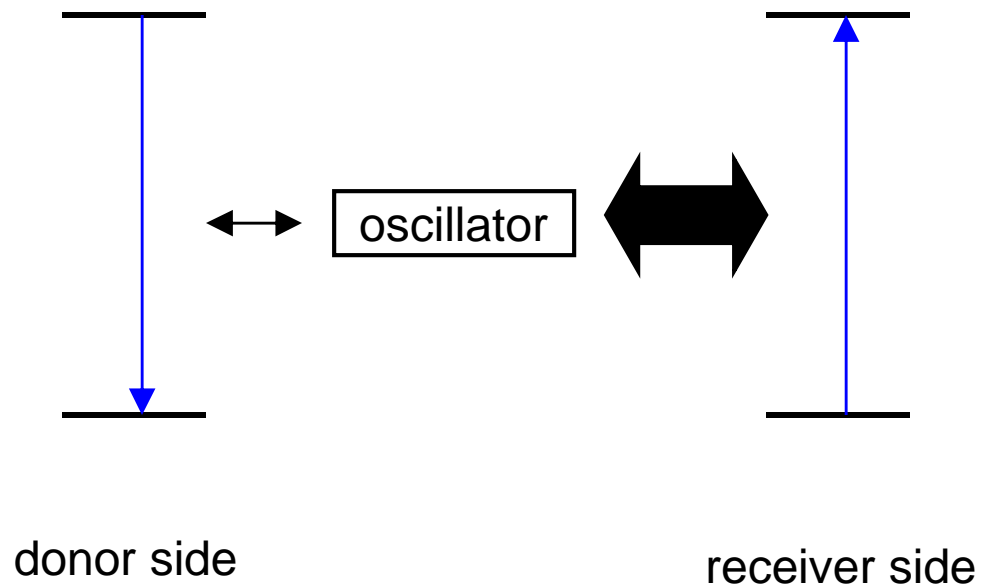
Phonon exchange approach

- We have considered phonon exchange models for anomalies in metal deuterides
- Approach is based on quantum coupling between reactions at different sites through phonon exchange with a common highly excited phonon mode
- This approach leads to new reaction pathways which differ from vacuum nuclear reaction mechanisms

Overview of model



Two-level systems and oscillator

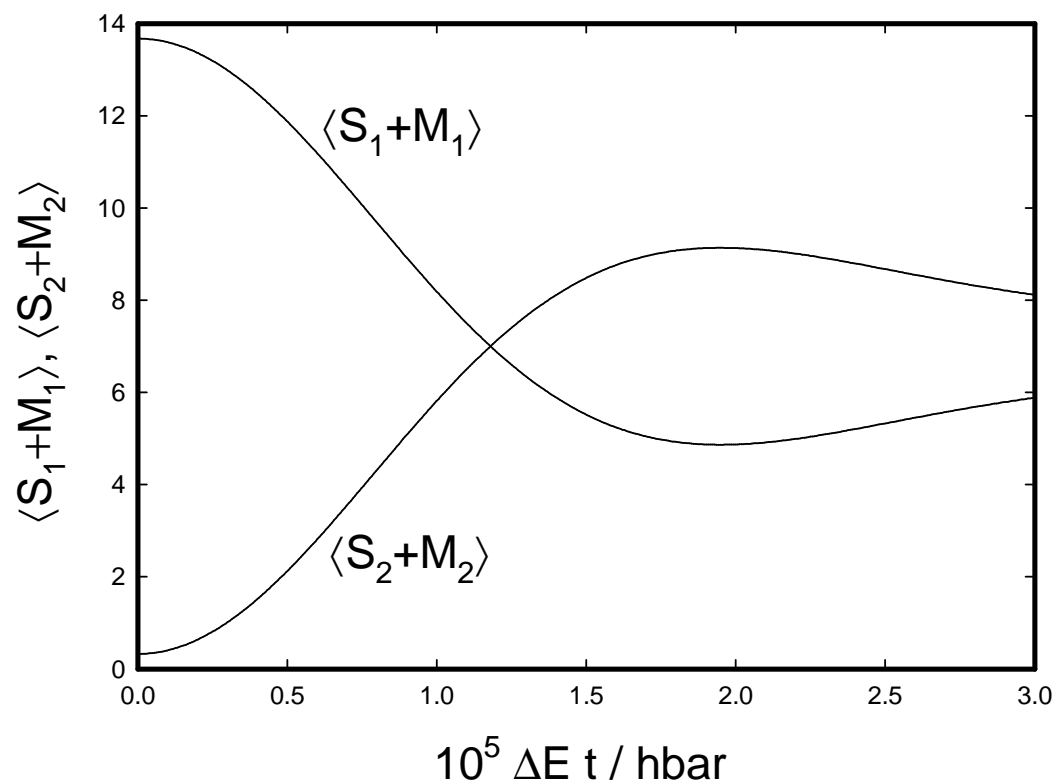




Basic model

$$\hat{H} = \Delta E_1 \frac{\hat{S}_z^{(1)}}{\hbar} + \Delta E_2 \frac{\hat{S}_z^{(2)}}{\hbar} + \hbar \omega_0 \hat{a} \hat{a}^\dagger + V_1 e^{-G} \frac{2S_x^{(1)}}{\hbar} (\hat{a} + \hat{a}^\dagger) + V_2 \frac{2S_x^{(2)}}{\hbar} (\hat{a} + \hat{a}^\dagger)$$

Excitation transfer effect

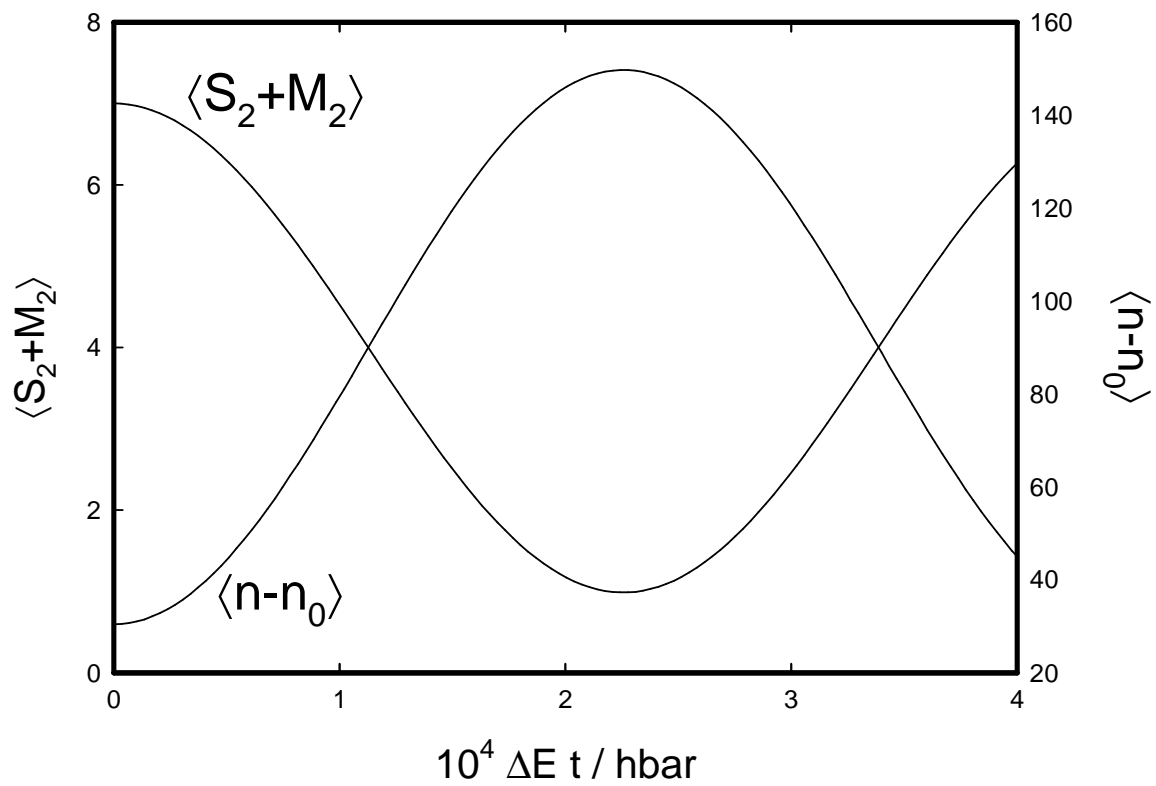




Thinking about it

- Excitation transferred from one set of two-level systems to the other
- No direct coupling
- Indirect coupling through low energy oscillator (with $\hbar\omega = 0.03 \Delta E$)
- Coupling is very slow
- Requires precise matching of levels

Energy exchange effect

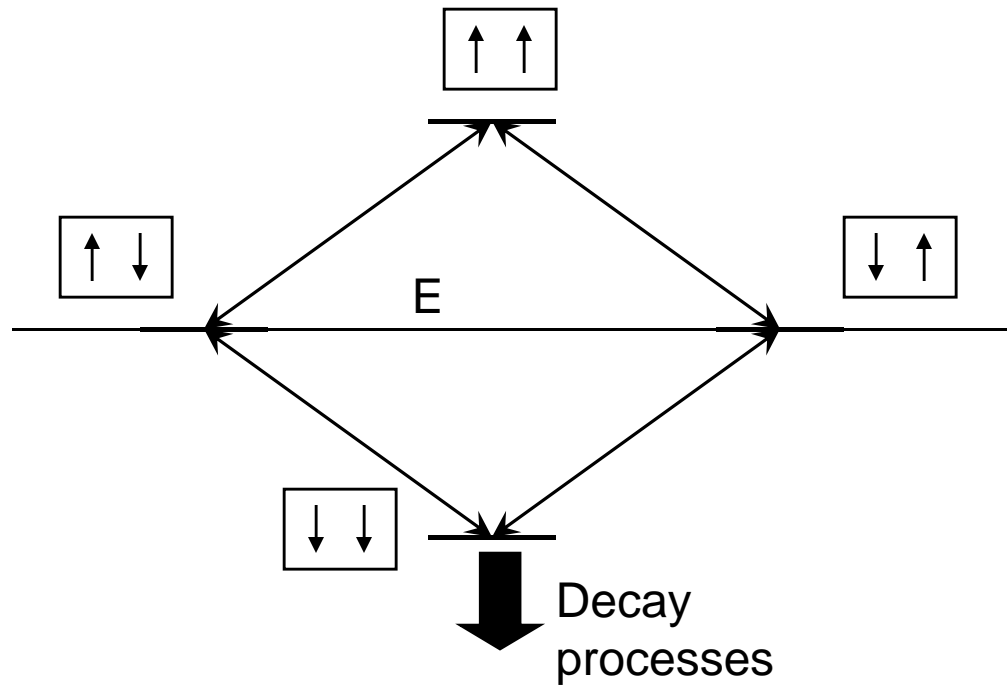




Thinking about it

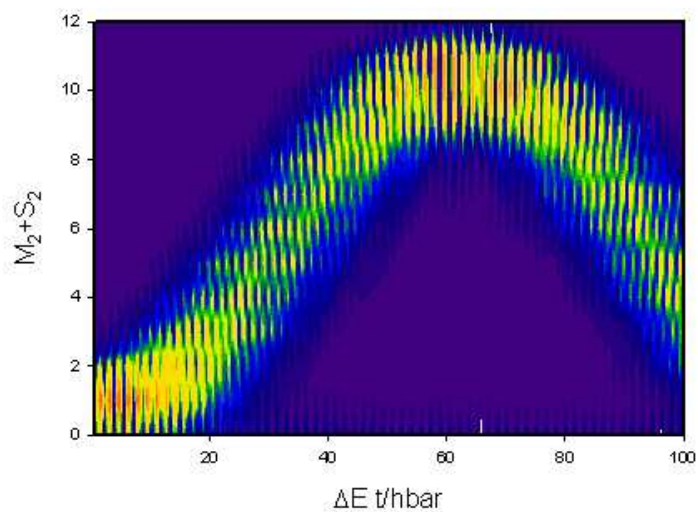
- Direct conversion of two-level energy quantum into oscillator quanta
- Roughly 20 oscillator quanta created for loss of single two-level system
- Effect is slow
- Requires precise matching of levels

Add loss...

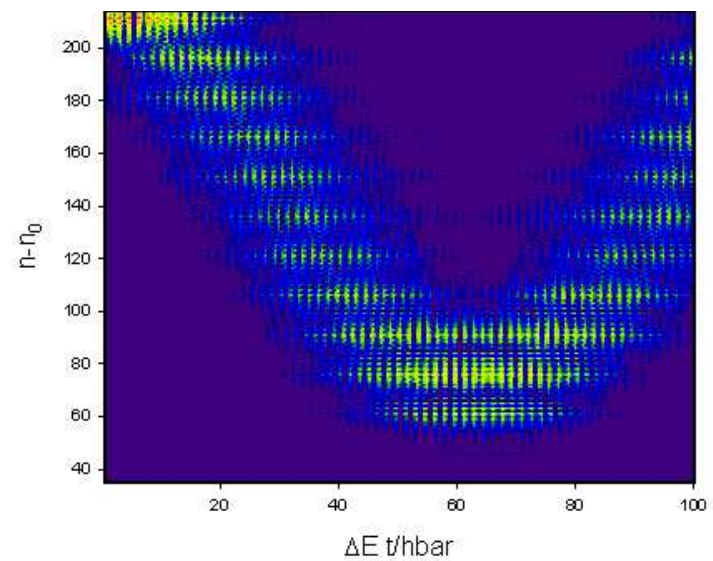


Energy exchange

Two-level system excitation



Oscillator excitation



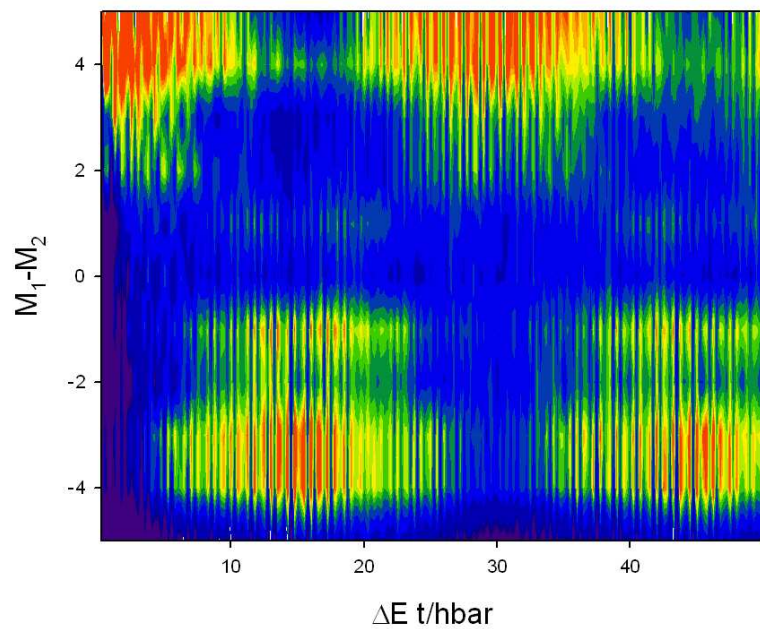


Thinking about the result

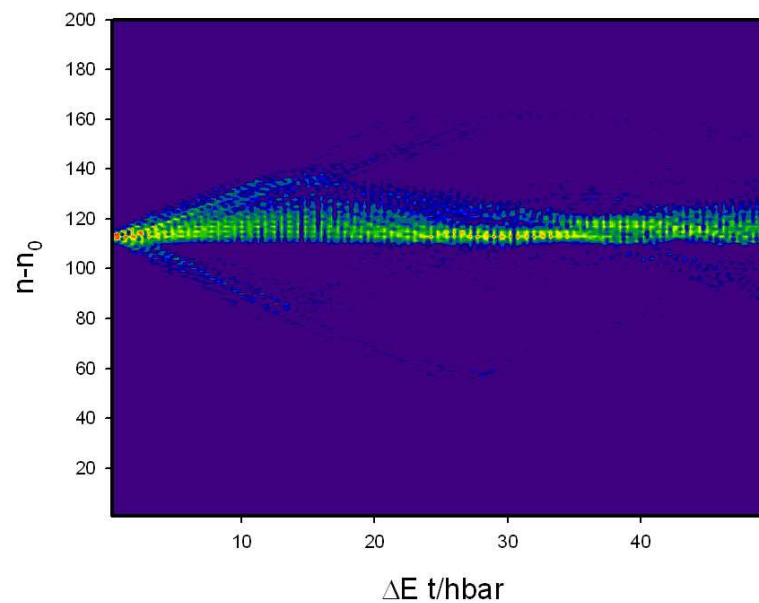
- Coherent energy exchange between two-level systems and oscillator
- Low oscillator energy ($\Delta E = 15 \hbar \omega$)
- Effect now much faster in presence of loss

Excitation transfer

Two-level systems



Oscillator

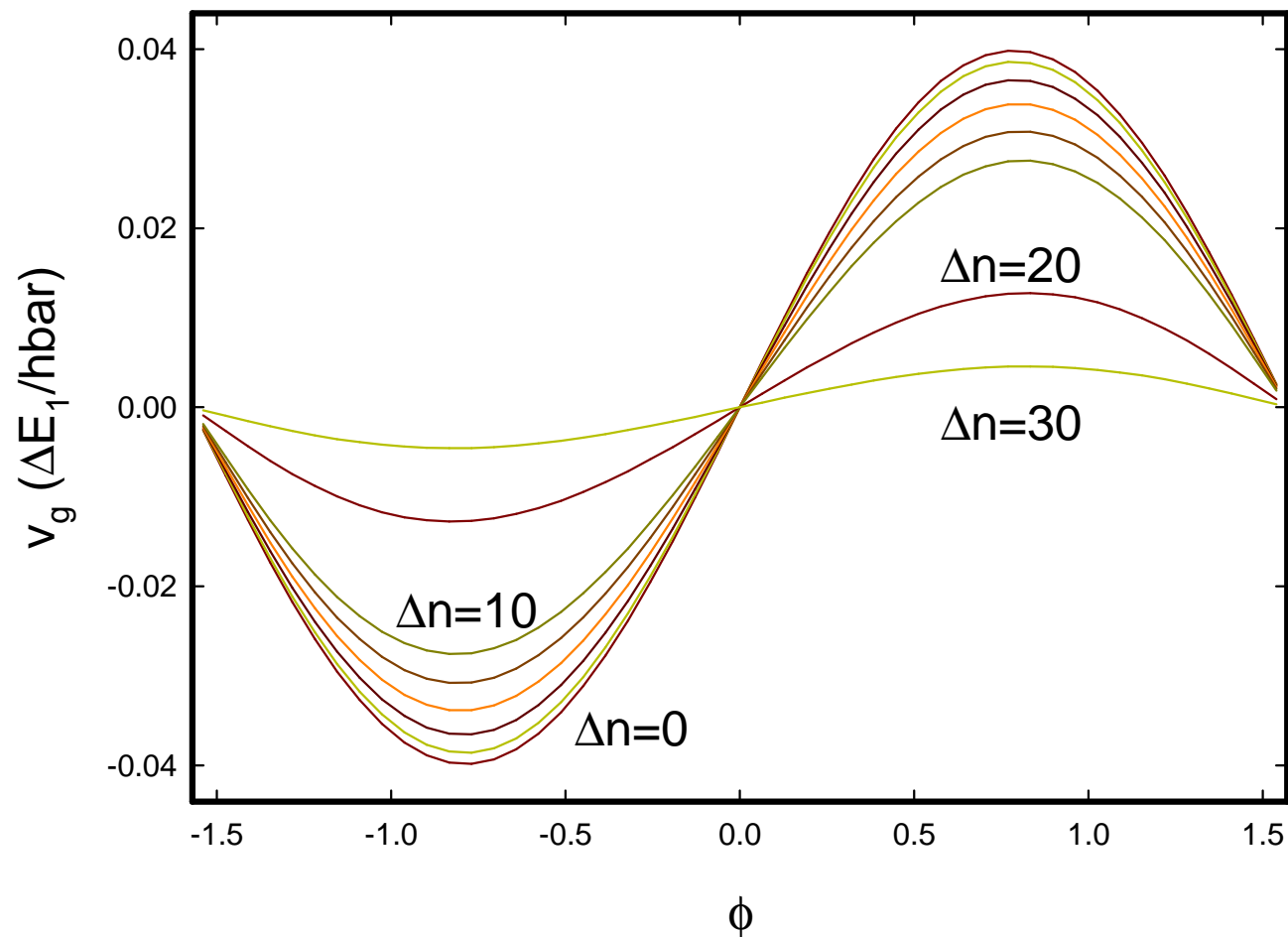




Thinking about the result

- Excitation transfer between two-level systems
- Effect much faster with loss
- Precise resonance no longer required
- Can see small energy exchange effect in this calculation to make up mismatch in resonance

Transfer rates with mismatch, for $\Delta E = 101 \hbar \text{bar } \omega$





Thinking about the result

- Receiver side nuclei strongly coupled to oscillator
- Energy readily exchanged with oscillator
- If mismatch in two-level system energy, oscillator can make it up
- Dynamics rate limited by donor-side transfer



For more information...

- P. L. Hagelstein and I. U. Chaudhary, "Two-level systems coupled to an oscillator: Excitation transfer and energy exchange," ArXiv cond-mat 0612306
- I. U. Chaudhary and P. L. Hagelstein, "Inclusion of phonon exchange in a nuclear matrix element," ArXiv cond-mat 0606585



Conclusions [I]

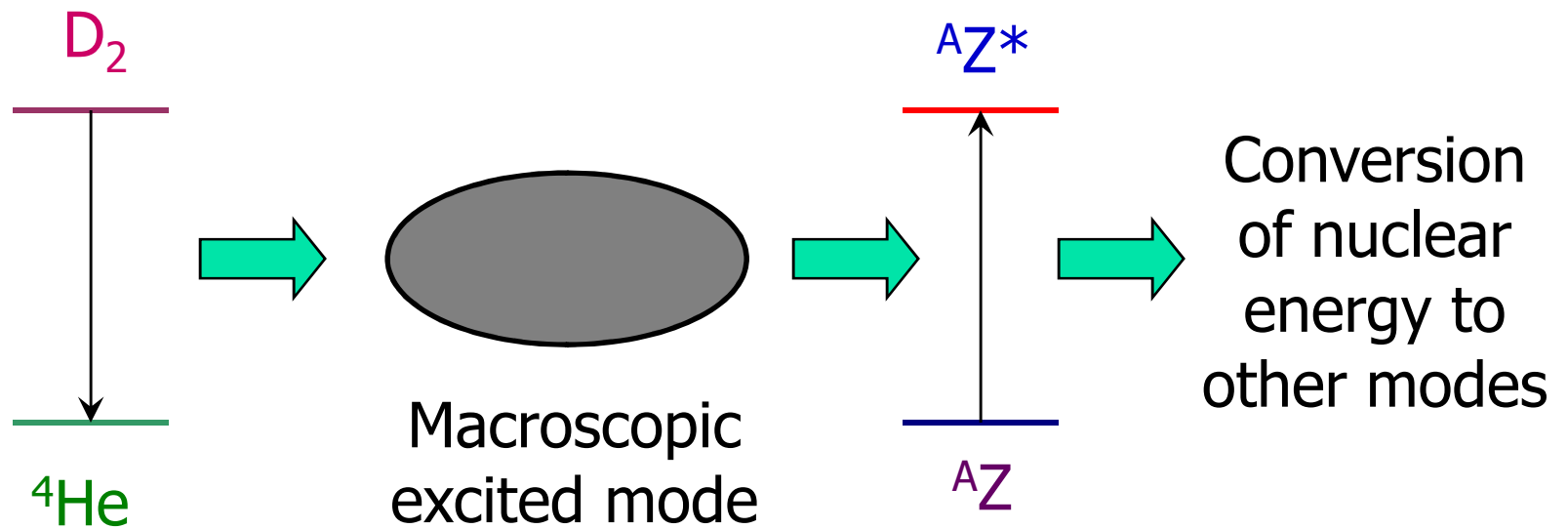
- Considered two-level systems coupled to a common oscillator
- Coherent excitation transfer effect
- Coherent energy exchange effect
- Rates slow in lossless model
- Rates fast with loss



Conclusions [II]

- Analytic estimates for rates in weak coupling limit
- Also for strong coupling limit
- Agreement with numerical results

Overview of model





Conclusions [III]

- Model applicable to excess heat production
- Excitation transfer assumed to be bottleneck
- Excess power rate predicted consistent with experiment
- Uncertainty in screening parameters
- Energy exchanges easily with lattice in this model